

**Clinical trial results:****A Phase I/II Open Label, Single-dose, Gene Transfer Study of scAAV9. U1a.hSGSH (ABO-102) in Patients with Middle and Advanced Phases of MPS IIIA Disease****Summary**

EudraCT number	2018-000504-42
Trial protocol	ES
Global end of trial date	10 March 2022

**Results information**

Result version number	v2
This version publication date	28 March 2023
First version publication date	04 October 2022
Version creation reason	<ul style="list-style-type: none"><li>• New data added to full data set</li></ul> Updated record with tabulated results
Summary attachment (see zip file)	Results Extension Notification (Notification of Extension of CSR Submission and Posting of Study Results.pdf)

**Trial information****Trial identification**

Sponsor protocol code	ABT-003
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**Additional study identifiers**

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	NCT04088734
WHO universal trial number (UTN)	-

Notes:

**Sponsors**

Sponsor organisation name	Ultragenyx Pharmaceutical Inc.
Sponsor organisation address	60 Leveroni Court, Novato, United States, California 94949
Public contact	Ultragenyx Pharmaceutical, Inc., Patient Advocacy, +1 415 756-8657, Trialrecruitment@ultragenyx.com
Scientific contact	Medical Information, Ultragenyx Pharmaceutical, Inc., +1 888 756-8657, medinfo@ultragenyx.com

Notes:

**Paediatric regulatory details**

Is trial part of an agreed paediatric investigation plan (PIP)	Yes
EMA paediatric investigation plan number(s)	EMA-002206-PIP02-19
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Final
Date of interim/final analysis	10 March 2022
Is this the analysis of the primary completion data?	No
Global end of trial reached?	Yes
Global end of trial date	10 March 2022
Was the trial ended prematurely?	Yes

Notes:

## General information about the trial

Main objective of the trial:

To evaluate the safety and efficacy of ABO-102 (also known as UX111) in patients with middle and advanced phases of mucopolysaccharidosis (MPS) IIIA disease.

Protection of trial subjects:

All parents and/or legal guardians of the participant will be provided with an Information Sheet and/or Consent Form describing this study and providing sufficient information for participants to make an informed decision about the participation of their represented participant in this study. Where required, consent will be sought from the parents and/or legal guardian of the participant. The Informed Consent Forms (ICFs) and Patient Informed Consent Forms (PICFs) will include all elements required by ICH, Good Clinical Practice (GCP) and applicable regulatory requirements.

The Information Sheet and/or Consent Form will be submitted with the protocol for review and approval by the relevant Ethical Review Board for the study in each country. The formal consent of a participant, using the Informed Consent Form approved by the relevant Ethical Review Board, must be obtained before that participant undergoes any study procedure. The consent form must be signed by the participant's parent/legal guardian, and the Investigator-designated research professional obtaining the consent. Where required by the relevant Ethical Review Board, a witness may sign the consent form.

Background therapy: -

Evidence for comparator: -

Actual start date of recruitment	18 September 2019
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	Yes

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	Spain: 3
Country: Number of subjects enrolled	United States: 1
Country: Number of subjects enrolled	Australia: 1
Worldwide total number of subjects	5
EEA total number of subjects	3

Notes:

<b>Subjects enrolled per age group</b>	
In utero	0
Preterm newborn - gestational age < 37 wk	0
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	5
Adolescents (12-17 years)	0
Adults (18-64 years)	0
From 65 to 84 years	0
85 years and over	0

## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

The screening evaluations will take place over two visits (preferentially two weeks apart). Each screening visit may take place over multiple consecutive days if deemed necessary by the Principal Investigator to accommodate all the clinical assessments that need to be performed.

### Period 1

Period 1 title	Overall Study (overall period)
Is this the baseline period?	Yes
Allocation method	Not applicable
Blinding used	Not blinded

### Arms

Arm title	scAAV9.U1a.hSGSH, 3x10 <sup>13</sup> vg/kg
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Arm description:

An intravenous injection of ABO-102 (scAAV9.U1a.hSGSH) via peripheral limb vein at a dose of 3.0 x 10<sup>13</sup> vg/kg

Arm type	Experimental
Investigational medicinal product name	ABO-102
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Infusion
Routes of administration	Intravenous use

Dosage and administration details:

3 x 10<sup>13</sup> vg/kg of ABO-102 delivered one time through a venous catheter inserted into a peripheral limb vein.

Number of subjects in period 1	scAAV9.U1a.hSGSH, 3x10 <sup>13</sup> vg/kg
Started	5
Completed	0
Not completed	5
Other, not specified	5

## Baseline characteristics

### Reporting groups

Reporting group title	scAAV9.U1a.hSGSH, 3x10 <sup>13</sup> vg/kg
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Reporting group description:

An intravenous injection of ABO-102 (scAAV9.U1a.hSGSH) via peripheral limb vein at a dose of 3.0 x 10<sup>13</sup> vg/kg

Reporting group values	scAAV9.U1a.hSGSH, 3x10 <sup>13</sup> vg/kg	Total	
Number of subjects	5	5	
Age categorical Units: Subjects			
Age continuous Units: months arithmetic mean standard deviation	68.4 ± 34.49	-	
Gender categorical Units: Subjects			
Female	1	1	
Male	4	4	
Race Units: Subjects			
White	5	5	
Ethnicity Units: Subjects			
Hispanic or Latino	1	1	
Not Hispanic or Latino	4	4	

## End points

### End points reporting groups

Reporting group title	scAAV9.U1a.hSGSH, 3x1013 vg/kg
Reporting group description:	
An intravenous injection of ABO-102 (scAAV9.U1a.hSGSH) via peripheral limb vein at a dose of 3.0 x 1013 vg/kg	

### Primary: Incidence, Type and Severity of Related Treatment-Emergent Adverse Events (TEAEs) by Time Frame

End point title	Incidence, Type and Severity of Related Treatment-Emergent Adverse Events (TEAEs) by Time Frame <sup>[1]</sup>
End point description:	
An adverse event (AE) is any untoward medical occurrence or unintended change from the time informed consent form (ICF) is signed, including inter-current illness that occurs during the course of a clinical trial after treatment has started, whether considered related to treatment or not. TEAEs are those that occurred after the start of study drug. Related adverse events were categorized as possible, probable, or definitely.	
End point type	Primary
End point timeframe:	
From the first dose of study drug to <30 days postdose, Day 30, 60, 90, 180 and Month 12	

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Descriptive statistics are presented per protocol.

End point values	scAAV9.U1a.hSGSH, 3x1013 vg/kg			
Subject group type	Reporting group			
Number of subjects analysed	5			
Units: subjects				
< 30 days; Possible; Mild	1			
< 30 days; Possible; Moderate	0			
< 30 days; Possible; Severe	0			
< 30 days; Probable; Mild	2			
< 30 days; Probable; Moderate	0			
< 30 days; Probable; Severe	1			
< 30 days; Definitely; Mild	1			
< 30 days; Definitely; Moderate	0			
< 30 days; Definitely; Severe	0			
30 - < 60 days; Possible; Mild	0			
30 - < 60 days; Possible; Moderate	0			
30 - < 60 days; Possible; Severe	0			
30 - < 60 days; Probable; Mild	2			
30 - < 60 days; Probable; Moderate	0			
30 - < 60 days; Probable; Severe	1			
30 - < 60 days; Definitely; Mild	1			
30 - < 60 days; Definitely; Moderate	0			
30 - < 60 days; Definitely; Severe	0			
60 - < 90 days; Possible; Mild	0			

60 - < 90 days; Possible; Moderate	0			
60 - < 90 days; Possible; Severe	0			
60 - < 90 days; Probable; Mild	1			
60 - < 90 days; Probable; Moderate	0			
60 - < 90 days; Probable; Severe	0			
60 - < 90 days; Definitely; Mild	0			
60 - < 90 days; Definitely; Moderate	0			
60 - < 90 days; Definitely; Severe	0			
90 - < 180 days; Possible; Mild	0			
90 - < 180 days; Possible; Moderate	0			
90 - < 180 days; Possible; Severe	0			
90 - < 180 days; Probable; Mild	0			
90 - < 180 days; Probable; Moderate	1			
90 - < 180 days; Probable; Severe	0			
90 - < 180 days; Definitely; Mild	0			
90 - < 180 days; Definitely; Moderate	0			
90 - < 180 days; Definitely; Severe	0			
180 - < 12 months; Possible; Mild	0			
180 - < 12 months; Possible; Moderate	0			
180 - < 12 months; Possible; Severe	1			
180 - < 12 months; Probable; Mild	0			
180 - < 12 months; Probable; Moderate	0			
180 - < 12 months; Probable; Severe	0			
180 - < 12 months; Definitely; Mild	0			
180 - < 12 months; Definitely; Moderate	0			
180 - < 12 months; Definitely; Severe	0			

## Statistical analyses

No statistical analyses for this end point

## Primary: Incidence, Type and Severity of Serious Adverse Events (SAEs) by Time Frame

End point title	Incidence, Type and Severity of Serious Adverse Events (SAEs) by Time Frame <sup>[2]</sup>
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End point description:

An SAE is defined as any untoward medical occurrence that, at any dose:

- Results in death
- Is life threatening
- Requires inpatient hospitalization or prolongation of existing hospitalization
- Results in persistent disability/incapacity
- Is a congenital anomaly/birth defect
- Other situations such as important medical events that may not be immediately life threatening or result in death or hospitalization but may jeopardize the participant or may require medical or surgical intervention to prevent one of the other outcomes listed in the above definition.

Relationship to study drug was defined as unrelated, unlikely, possible, probable, or definitely.

End point type	Primary
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End point timeframe:

From signing of informed consent through Day 60, 90, 180 and up to Day 454 (> 12 months)

Notes:

[2] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Descriptive statistics are presented per protocol.

End point values	scAAV9.U1a.hS GSH, 3x1013 vg/kg			
Subject group type	Reporting group			
Number of subjects analysed	5			
Units: subjects				
60 - < 90 days; Unrelated; Mild	0			
60 - < 90 days; Unrelated; Moderate	0			
60 - < 90 days; Unrelated; Severe	0			
60 - < 90 days; Unlikely; Mild	0			
60 - < 90 days; Unlikely; Moderate	0			
60 - < 90 days; Unlikely; Severe	1			
60 - < 90 days; Possible; Mild	0			
60 - < 90 days; Possible; Moderate	0			
60 - < 90 days; Possible; Severe	0			
60 - < 90 days; Probable; Mild	0			
60 - < 90 days; Probable; Moderate	0			
60 - < 90 days; Probable; Severe	0			
60 - < 90 days; Definite; Mild	0			
60 - < 90 days; Definite; Moderate	0			
60 - < 90 days; Definite; Severe	0			
90 - < 180 days; Unrelated; Mild	0			
90 - < 180 days; Unrelated; Moderate	0			
90 - < 180 days; Unrelated; Severe	1			
90 - < 180 days; Unlikely; Mild	0			
90 - < 180 days; Unlikely; Moderate	0			
90 - < 180 days; Unlikely; Severe	1			
90 - < 180 days; Possible; Mild	0			
90 - < 180 days; Possible; Moderate	0			
90 - < 180 days; Possible; Severe	0			
90 - < 180 days; Probable; Mild	0			
90 - < 180 days; Probable; Moderate	0			
90 - < 180 days; Probable; Severe	0			
90 - < 180 days; Definite; Mild	0			
90 - < 180 days; Definite; Moderate	0			
90 - < 180 days; Definite; Severe	0			
180 days - < 12 months; Unrelated; Mild	0			
180 days - < 12 months; Unrelated; Moderate	0			
180 days - < 12 months; Unrelated; Severe	0			
180 days - < 12 months; Unlikely; Mild	0			
180 days - < 12 months; Unlikely; Moderate	0			
180 days - < 12 months; Unlikely; Severe	0			
180 days - < 12 months; Possible; Mild	0			
180 days - < 12 months; Possible; Moderate	0			



180 days - < 12 months; Possible; Severe	1			
180 days - < 12 months; Probable; Mild	0			
180 days - < 12 months; Probable; Moderate	0			
180 days - < 12 months; Probable; Severe	0			
180 days - < 12 months; Definite; Mild	0			
180 days - < 12 months; Definite; Moderate	0			
180 days - < 12 months; Definite; Severe	0			
>= 12 months; Unrelated; Mild	0			
>= 12 months; Unrelated; Moderate	0			
>= 12 months; Unrelated; Severe	1			
>= 12 months; Unlikely; Mild	0			
>= 12 months; Unlikely; Moderate	0			
>= 12 months; Unlikely; Severe	0			
>= 12 months; Possible; Mild	0			
>= 12 months; Possible; Moderate	0			
>= 12 months; Possible; Severe	0			
>= 12 months; Probable; Mild	0			
>= 12 months; Probable; Moderate	0			
>= 12 months; Probable; Severe	0			
>= 12 months; Definite; Mild	0			
>= 12 months; Definite; Moderate	0			
>= 12 months; Definite; Severe	0			

## Statistical analyses

No statistical analyses for this end point

### Primary: Change From Baseline (BL) in Multiples of Normal of Liver and Spleen Volumes After Treatment, as Measured by Magnetic Resonance Imaging (MRI)

End point title	Change From Baseline (BL) in Multiples of Normal of Liver and Spleen Volumes After Treatment, as Measured by Magnetic Resonance Imaging (MRI) <sup>[3]</sup>
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End point description:

Body Surface Area (BSA) (m<sup>2</sup>)=( Height(cm) \* Weight (kg))/3600)<sup>1/2</sup>.

Normal Liver Volume=(689.9 \* BSA (m)) - 24.7.

Normal Spleen Volume (mL)=(4.6 \* Weight (kg)) + 0.7.

Liver Volume (multiples of normal)=Subject Liver Volume (mL)/Normal Liver Volume (mL).

Spleen Volume (multiples of normal)=Subject Spleen Volume (mL)/Normal Spleen Volume (mL).

[1] Baseline value of multiple of normal is calculated using the baseline values of the Liver volume/Spleen Volume/Height and Weight.

End point type	Primary
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End point timeframe:

Baseline, Day 30, 180, Month 12

Notes:

[3] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Statistics are presented in the data table per protocol.

<b>End point values</b>	scAAV9.U1a.hS GSH, 3x1013 vg/kg			
Subject group type	Reporting group			
Number of subjects analysed	5 <sup>[4]</sup>			
Units: multiples of normal				
arithmetic mean (standard deviation)				
Liver volume: change from BL to Day 30; n=3	-0.4200 (± 0.08876)			
Liver volume: change from BL to Day 180; n=3	-0.4543 (± 0.31560)			
Liver volume: change from BL to Month 12; n=2	-0.1765 (± 0.17466)			
Spleen volume: change from BL to Day 30; n=4	-0.0603 (± 0.19257)			
Spleen volume: change from BL to Day 180; n=3	-0.2253 (± 0.53267)			
Spleen volume: change from BL to Month 12; n=2	-0.1330 (± 0.18102)			

Notes:

[4] - n=participants with an assessment at baseline and given time point

## Statistical analyses

No statistical analyses for this end point

## Primary: Change From BL in Cerebrospinal Fluid (CSF) Heparan Sulfate Levels After Treatment

End point title	Change From BL in Cerebrospinal Fluid (CSF) Heparan Sulfate Levels After Treatment <sup>[5]</sup>
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End point description:

End point type	Primary
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End point timeframe:

Baseline, Day 30, 180, Month 12

Notes:

[5] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: Statistics are presented in the data table per protocol.

<b>End point values</b>	scAAV9.U1a.hS GSH, 3x1013 vg/kg			
Subject group type	Reporting group			
Number of subjects analysed	5 <sup>[6]</sup>			
Units: nmol/mL				
arithmetic mean (standard deviation)				
Change from BL to Day 30; n=5	-0.120 (± 0.0837)			
Change from BL to Day 180; n=3	-0.183 (± 0.0289)			
Change from BL to Month 12; n=1	-0.100 (± 99999)			

Notes:

[6] - n=number of participants with an assessment; 99999=not applicable (1 participant analyzed)

## Statistical analyses

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No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From first dose of study drug up to Day 454

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
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Dictionary version	21.1
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### Reporting groups

Reporting group title	Total
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Reporting group description:

An intravenous injection of ABO-102 (scAAV9.U1a.hSGSH) via peripheral limb vein at a dose of 3.0 x 10<sup>13</sup> vg/kg

Serious adverse events	Total		
Total subjects affected by serious adverse events			
subjects affected / exposed	3 / 5 (60.00%)		
number of deaths (all causes)	0		
number of deaths resulting from adverse events	0		
Injury, poisoning and procedural complications			
Foreign body in gastrointestinal tract			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Surgical and medical procedures			
Gastrostomy			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Nervous system disorders			
Cognitive disorder			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
General disorders and administration site conditions			
Gait disturbance			

subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Disease progression			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		
Gastrointestinal disorders			
Dysphagia			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	0 / 1		
deaths causally related to treatment / all	0 / 0		
Psychiatric disorders			
Mental status changes			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences causally related to treatment / all	1 / 1		
deaths causally related to treatment / all	0 / 0		

Frequency threshold for reporting non-serious adverse events: 0 %

<b>Non-serious adverse events</b>	Total		
Total subjects affected by non-serious adverse events			
subjects affected / exposed	5 / 5 (100.00%)		
General disorders and administration site conditions			
Gait disturbance			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Respiratory, thoracic and mediastinal disorders			
Rhinitis allergic			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Psychiatric disorders			
Abnormal behaviour			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		

Agitation			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Initial insomnia			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Investigations			
Aspartate aminotransferase increased			
subjects affected / exposed	5 / 5 (100.00%)		
occurrences (all)	11		
Amylase increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Alanine aminotransferase increased			
subjects affected / exposed	3 / 5 (60.00%)		
occurrences (all)	4		
Gamma-glutamyltransferase increased			
subjects affected / exposed	3 / 5 (60.00%)		
occurrences (all)	6		
Haematocrit increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Blood lactate dehydrogenase increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Haemoglobin increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Blood creatine phosphokinase increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Liver function test increased			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		

Weight increased subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Weight decreased subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Red blood cell count increased subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Platelet count decreased subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
White blood cell count decreased subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Nervous system disorders Ataxia subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Cognitive disorder subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 2		
Epilepsy subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Fine motor skill dysfunction subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Seizure subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Blood and lymphatic system disorders Thrombocytopenia subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Neutropenia			

subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Ear and labyrinth disorders Deafness subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Gastrointestinal disorders Constipation subjects affected / exposed occurrences (all)  Diarrhoea subjects affected / exposed occurrences (all)  Dysphagia subjects affected / exposed occurrences (all)  Nausea subjects affected / exposed occurrences (all)  Vomiting subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1  1 / 5 (20.00%) 1  1 / 5 (20.00%) 1  2 / 5 (40.00%) 2  4 / 5 (80.00%) 5		
Skin and subcutaneous tissue disorders Dermatitis atopic subjects affected / exposed occurrences (all)  Ecchymosis subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1  1 / 5 (20.00%) 1		
Endocrine disorders Cushingoid subjects affected / exposed occurrences (all)	1 / 5 (20.00%) 1		
Musculoskeletal and connective tissue disorders Bursitis			



subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Synovial cyst			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Pain in extremity			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Myositis			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Musculoskeletal pain			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Infections and infestations			
Cellulitis			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Oral candidiasis			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Respiratory tract infection			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Otitis media			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Upper respiratory tract infection			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Metabolism and nutrition disorders			
Increased appetite			
subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Hypokalaemia			

subjects affected / exposed	1 / 5 (20.00%)		
occurrences (all)	1		
Decreased appetite			
subjects affected / exposed	3 / 5 (60.00%)		
occurrences (all)	3		

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
12 April 2018	<ul style="list-style-type: none"> <li>• Added clarification to Secondary Outcome and Statistical Analysis that the Vineland Adaptive Behavior Scale II will be used</li> <li>• Clarified sample types for lab work to include: <ul style="list-style-type: none"> <li>o Screening, Pre-infusion, Days 7, 14, 30, 90, 180, Months 12, 18, 24 visits: Aspartate aminotransferase (AST), alanine transaminase (ALT), serum/plasma gamma-glutamyl transpeptidase (serum/plasma GGT), Serum/plasma total bilirubin</li> <li>o Day 1: Serum/plasma total bilirubin</li> <li>o Days 45, 60, 75, 120, 150, 2-weeks post steroid taper visits: AST, ALT, serum/plasma GGT</li> </ul> </li> <li>• Clarified for Screening, Day 30, 180, Month 12 visit regarding abdominal magnetic resonance imaging (MRI) to measure liver and spleen volumes</li> <li>• Clarified Section 6.3.1 Day of Gene Transfer (Day 0) instructions</li> <li>• Section 6.4.1.1, added clarification regarding tapering instructions</li> <li>• Added assessment at Day 30 for amylase levels</li> <li>• Section 7.4.1, deleted 'recession'</li> <li>• Section 7.4.3: Updated with new data available</li> <li>• Updated References</li> </ul>
25 February 2019	<ul style="list-style-type: none"> <li>• Separated signature pages for Sponsor and Principal Investigator</li> <li>• Added tables to describe document history</li> <li>• Clarified clinical phase of study</li> <li>• Clarified study population and updated inclusion/exclusion criteria</li> <li>• Updated primary, secondary and exploratory outcomes</li> <li>• Reorganized the introduction sections according to a new template</li> <li>• Removed age criteria</li> <li>• Added inclusion criterion: Cognitive Development Quotient (DQ) lower than 60</li> <li>• Added exclusion criteria: <ul style="list-style-type: none"> <li>• Participants with concomitant illness or requirement for chronic drug treatment that in the opinion of the PI creates unnecessary risks for gene transfer, or precludes the child from participating in the protocol assessments and follow up</li> <li>• Participants with a positive response for the ELISPOT for T-cell responses to AAV9</li> </ul> </li> <li>• Any vaccination with viral attenuated vaccines less than 30 days prior to the scheduled date of treatment (and use of prednisolone)</li> <li>• Previous treatment by Haematopoietic Stem Cell transplantation</li> <li>• Previous participation in a gene/cell therapy or enzyme replacement therapy (ERT) clinical trial</li> <li>• Deleted exclusion criteria: <ul style="list-style-type: none"> <li>• Prior treatment with n-sulfoglucosamine sulfohydrolase (SGSH) ERT</li> </ul> </li> <li>• Updated Primary and Secondary Outcome Measures and added Exploratory Measures</li> <li>• Remove the Dose Limiting Toxicity section</li> <li>• Included a section with Dosing New Participants, to clarify that patients will be treated with a 14-day interval</li> <li>• Modify the Stopping Rules, to indicate that study will be halted if 2 or more SAEs (not Grade III AEs) are reported.</li> <li>• Deleted neurocognitive assessment using Leiter scale</li> <li>• Inclusion of a neurocognitive validity form</li> <li>• Inclusion of the Vineland, Bayley, Mullen and Kaufman (depending on developmental age) scale neurocognitive assessment for all participants participating.</li> <li>• Added Pediatric Quality of Life Inventory (PedsQL™), Parenting Stress Index, 4th Edition (PSI-4) and Children's Sleep Habits Questionnaire (CSHQ) clinical questionnaire</li> </ul>

25 February 2019	<p>(continued)</p> <ul style="list-style-type: none"> <li>• Added Sanfilippo Behavior Rating Scale (SBRs) Scales assessment, Parent Global Impression Score, Clinical Global Impression Improvement Scale and Parent Symptom Score Questionnaire</li> <li>• Included diffusion tensor imaging (DTI) analysis on the brain MRI images.</li> <li>• Changed the patient weight for dosing to the one obtained in Screening visit 2.</li> <li>• Included four audiometry clinical assessments (Screening, Month 6, 12 and 24).</li> <li>• Provided more detailed information about the vital sign collection after treatment</li> <li>• Changed some study visit windows to allow more flexibility.</li> <li>• Included new clinical biomarkers such as plasma and CSF cytokines, GM2/GM3.</li> <li>• Added CSF biobanking</li> <li>• Included new gene therapy biomarkers such as neutralizing antibodies against the adeno-associated Virus 9 (AAV9) capsid.</li> <li>• Included a participant questionnaire to collect information on the quality of the service provided by vendors interacting with participants.</li> <li>• Reorganized the safety sections according to a new template</li> <li>• Limited the SAEs to those who are following the regulatory definition by International Conference on Harmonization (ICH) and not all grade 3, 4 or 5.</li> <li>• Replaced terminology of 'subject' with 'participant'</li> <li>• Added 60-minute electroencephalogram (EEG) at Months, 6, 2, 18, and 24</li> </ul>
05 March 2020	<ul style="list-style-type: none"> <li>• Added inclusion criterion: 'Age range of 2 years up to 18 years (excluded)'.</li> <li>• Updated the version of CTCAE to be referenced for AEs, to v4.03.</li> <li>• Revised the following secondary outcome to exploratory outcome:</li> <li>• Change from baseline in the Sanfilippo Behavior Rating Scale [Time Frame: Month 6, 12, 18, 24]</li> <li>• Removed brain MRI assessment and brain DTI analysis at Days 30 and 180.</li> <li>• Clarification of the scale to be used for the two arms in the study above and below 18 months of chronological age.</li> <li>• Removed medical history assessment at Visits 2 and 3.</li> <li>• Added urine pregnancy test (if applicable) at Day 0, Months 12 and 24.</li> <li>• Added troponin in the panel of labs, to be assessed at Visit 1, Days -1 and 1, and at Visits 4 to 12.</li> <li>• ELISpot assessment: removed from Day 7 and added at Days 45, 75, 120, and at 2-weeks post-steroid, if needed based on previous results.</li> <li>• Corrected several inconsistencies between the schedule of evaluations table and Section 5, study procedures.</li> <li>• Revised the electroencephalogram (EEG) assessment from 60-minute EEG to 45-minute EEG.</li> <li>• Clarified that audiometry evaluations will start with tympanometry and otoacoustic emissions (OAE). In case relevant alterations are detected, an auditory brainstem response (ABR) along all frequencies will be assessed.</li> <li>• Added the acceptable method of contraception for the study and instructions on reporting of pregnancy during the study.</li> <li>• Specified the prohibited medications for the study and instructions on capturing concomitant medication/therapy.</li> <li>• Specified that the blood sample schedule and collection allows for a maximum blood volume of 35 ml per study visit, with flexibility to the sites to reduce volume as per site policy if needed and that additional ad-hoc analysis on blood samples collected at any particular visit may be performed at the discretion of the principal investigator (PI).</li> </ul>

05 March 2020	<p>(continued)</p> <ul style="list-style-type: none"> <li>• Specified the order of certain scales to be administered and the provision for use of an external qualified and trained rater, if the family signed the consent annex.</li> <li>• Added that prophylactic enteral prednisone or prednisolone will not be provided by the Sponsor; the standard of care at the site/country should be used for prophylaxis.</li> <li>• Revised the wording on long-term monitoring to include the long-term follow-up study designed to enroll participants who complete the current study.</li> <li>• Provided rationale on the choice of primary and secondary outcomes.</li> <li>• Clarified the definitions and reporting of AEs, SAEs and serious adverse reactions (SAR).</li> <li>• Clarified the stopping/discontinuation rules for the study (Section 7.2).</li> <li>• Added that the date of birth and age of the participant at screening will be collected to ensure that the appropriate age-based assessments are performed throughout the study. Demographic data including age, gender, race and date of birth will be collect at Visit 1.</li> <li>• Clarified the Data Safety Monitoring Board (DSMB) roles and requirements, including an update to the minimum number of members required, from 3 to 4 members.</li> <li>• Several editorial changes for clarity and correction of errors throughout the protocol.</li> <li>• Clarified the EEG roles and requirements, including an update to the minimum number of members required, from 3 to 4 members.</li> <li>• Several editorial changes for clarity and correction of errors throughout the protocol.</li> </ul>
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Notes:

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## Interruptions (globally)

Were there any global interruptions to the trial? No

## Limitations and caveats

None reported